

SEP 25 2002
PATENT & TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#35 RCE/2811/4
10-8-02
Mueish
PATENT APPLICATION

In re: PATENT APPLICATION of:

Inventor(s): Ronald Pasqualini

Attorney No.: 09/ 164,216 ✓

Group Art Unit 2811
Examiner: O. Nadav
Atty. Dkt. No. 100-11503

P03921-C3

Series Code ↑ Serial N. ↑

Client Ref

Filed: September 30, 1998
Title: ESD Protection Circuit Utilizing Floating Lateral Clamp Diodes

RCE

DO NOT USE FOR PROVISIONAL, DIVISIONAL, CIP OR DESIGN APPLICATIONS, OR REEXAMINATION OF PATENTS

Box RCE
Commissioner for Patent
Washington, DC 20231

Date: September 25, 2002

Sir:

REQUEST FOR CONTINUED EXAMINATION (RCE) UNDER RULE 114

Please continue the examination of this application.

PREREQUISITES

This application was filed on/after June 8, 1995, is not abandoned, and no court action has been filed, or, if filed, it has been terminated.

An issue fee has not been paid (unless a petition under Rule 313(c)(2) is also being filed -- see item 4 below).

Prosecution has been closed as defined in Rule 114(b).

Reply to any outstanding action and Rule 17(e) filing fee must be enclosed

This application is entitled under Rule 114 to withdrawal of any outstanding finality or of any allowance plus a new action by the Examiner. Consideration on the merits of each submission (e.g., IDS, Amendment, new arguments, new evidence, but not appeal/reply briefs themselves) filed herewith is respectfully requested.

Please consider the following before the next Official Action:

1. Please ☒ enter ☐ do not enter the Amendment filed
2. ☒ The enclosed Amendment.
3. ☐ Consider the arguments in the appeal brief filed ___ and reply brief filed ___
4. ☐ The issue fee has been paid, but this RCE is based on Rule 313(c)(2). See enclosed petition.
5. ☐ The enclosed Information Disclosure Statement.
6. ☒ The Enclosed Non-Publish Request.
7. ☐ Please suspend action under Rule 103(c) for a period of ___ months (3 mos. Max) for which the required \$130 fee is enclosed
8. ☒ A check in the amount of \$824.00 is enclosed of which \$740 is the required RCE filing fee and \$84.00 for one extra independent claim. (Postcard, fee transmittal (with copy) are also attached.)

(Our Deposit Account No. 502305)

(Atty. Dkt No.) 100-11503

Express Mail No. EV140162018US

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order No. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

Correspondence Address Below Law Offices of Mark C. Pickering

P.O. Box 300
Petaluma, CA 94953-0300
(707) 762-5500
Customer No. 33402

By Atty:

MARK PICKERING

Reg. No. 36,239

Sig:

Mark C. Pickering

Fax: (707) 762-5504

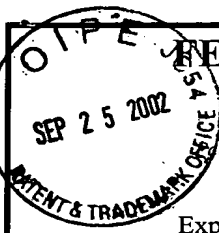
Date:

September 25, 2002

Tel: (707) 762-5583

09/30/2002 MBERHE 00000078 09164216

01 FC:179 740.00 OP
02 FC:102 84.00 OP

 <h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">For FY 2002</h3> <p style="margin: 0; font-size: small;">Patent Fees are subject to annual revision.</p> <p style="margin: 0;">Express Mail No. EV140162018US</p>		<p><i>Complete if Known</i></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>RCE of 09/164,216</td> </tr> <tr> <td>Filing Date</td> <td>September 30, 1998</td> </tr> <tr> <td>First Named Inventor</td> <td>Ronald Pasqualini</td> </tr> <tr> <td>Examiner Name</td> <td>O. Nadav</td> </tr> <tr> <td>Group Art Unit</td> <td>2811</td> </tr> <tr> <td>Attorney Document No.</td> <td>100-11503 (P03921-C3)</td> </tr> </table>		Application Number	RCE of 09/164,216	Filing Date	September 30, 1998	First Named Inventor	Ronald Pasqualini	Examiner Name	O. Nadav	Group Art Unit	2811	Attorney Document No.	100-11503 (P03921-C3)
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METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																												
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p style="text-align: center;">502305</p> <p style="text-align: center;">LAW OFFICES OF MARK C. PICKERING</p> <p><input checked="" type="checkbox"/> Charge any additional fees required under 37 CFR §§1.16 and 1.17. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<p>3. Additional Fees</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th></th> </tr> </thead> <tbody> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td></tr> <tr><td>147</td><td>2520</td><td>139</td><td>2520</td><td>Filing a request for re-examination</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Req. publ. of SIR prior to Ex. 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<p>Law Offices of Mark C. Pickering P.O. Box 300 Petaluma, CA 94953-0300 Telephone: (707) 762-5583 Facsimile: (707) 762-5504 Customer No. 33402</p>			<p>Date: <u>9-25-02</u></p> <p>By: <u>Mark C. Pickering</u> Mark C. Pickering, Reg. No. 36,239</p>																																																																																																																																										

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PTO/SB/35 (11-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST AND CERTIFICATION
UNDER****35 U.S.C. 122(b)(2)(B)(i)****(Express Mail No. EV140162018US)**

First Named Inventor

Ronald Pasqualini

Title

ESD Protection Circuit Utilizing Floating Lateral Clamp
Diodes

Atty Docket Number

100-11503 (P03921-C3)

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

9-25-02

Date

Signature

Mark C. Pickering

Reg. No. 36,239

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.